

PTO/SB/01 (6-95) (modified)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0010/PTO  
Rev. 6/95

U.S. Department of Commerce  
Patent and Trademark Office

**DECLARATION FOR  
UTILITY OR DESIGN  
PATENT APPLICATION**

Attorney Docket Number

**5069**

First Named Inventor

**Richard W. Friesen**

*COMPLETE IF KNOWN*

Application Number

**09/651,301**

Filing Date

**August 30, 2000**

Group Art Unit

**2161**

Examiner Name

**Not Yet Known**

☐ Declaration Submitted with Initial Filing OR ☒ Declaration Submitted after Initial Filing

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**USER INTERFACE FOR SEMI-FUNGIBLE TRADING**

the specification of which

*(Title of the Invention)*

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) August 30, 2000 as United States Application Number or PCT International Application Number 09/651,301 and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations. § 1.56.

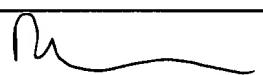
I hereby claim foreign priority benefits under Title 35, United States Code § 119 (a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority		Certified Copy Attached?	
			Not Claimed		YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

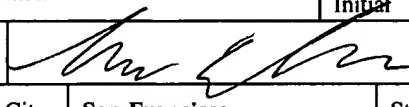
☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental sheet attached hereto.
<b>60/151,468</b>	<b>August 30, 1999</b>	

DECLARATION				Page 2	
<p>I hereby claim the benefit under Title 35, United States Code § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.</p>					
U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)		
09/289,550		April 9, 1999			
<input type="checkbox"/> Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.					
<p>As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:</p>					
Name		Registration Number	Name		Registration Number
Robert R. Sachs Dana S. Rao		42,120 43,875			
<input type="checkbox"/> Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.					
<p>Please direct all correspondence to:</p> <p style="text-align: center;">Dana S. Rao Fenwick &amp; West LLP Two Palo Alto Square Palo Alto, CA 94306 U.S.A.</p>					
Telephone		(650) 858-7816		Fax	(650) 494-1417
<p>I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.</p>					
Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name	Richard	Middle Initial	W.	Family Name	Friesen
				Suffix e.g. Jr.	
Inventor's Signature				Date	11-10-00
Residence: City	Fairfax	State	CA	Country	U.S.A.
				Citizenship	U.S.A.
Mailing Address					
Mailing Address	132 Ridge Road				
City	Fairfax	State	CA	Zip	94930
				Country	U.S.A.
<input checked="" type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto					



DECLARATION										ADDITIONAL INVENTOR(S) Supplemental Sheet									
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name		Mark			Middle Initial		C.	Family Name		Klizas			Suffix e.g. Jr.						
Inventor's Signature								Date		11/10/00									
Residence: City		San Francisco			State		CA	Country		94108			Citizenship		U.S.A.				
Mailing Address		626 Powell Street, Apt. 604																	
Mailing Address																			
City		San Francisco			State		CA	Zip		94108			Country		U.S.A.				
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name					Middle Initial			Family Name					Suffix e.g. Jr.						
Inventor's Signature								Date											
Residence: City					State			Country					Citizenship						
Mailing Address																			
Mailing Address																			
City					State			Zip					Country						
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name					Middle Initial			Family Name					Suffix e.g. Jr.						
Inventor's Signature								Date											
Residence: City					State			Country					Citizenship						
Mailing Address																			
Mailing Address																			
City					State			Zip					Country						
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name					Middle Initial			Family Name					Suffix e.g. Jr.						
Inventor's Signature								Date											
Residence: City					State			Country					Citizenship						
Mailing Address																			
Mailing Address																			
City					State			Zip					Country						
Name of Additional Joint Inventor, if any:										<input type="checkbox"/> A petition has been filed for this unsigned inventor									
Given Name					Middle Initial			Family Name					Suffix e.g. Jr.						
Inventor's Signature								Date											
Residence: City					State			Country					Citizenship						
Mailing Address																			
Mailing Address																			
City					State			Zip					Country						
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto																			